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attorney or agent acting under 37 CFR 1.34.

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*Total of

Submit multiple forms if more than one signature is required, see below*.

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Docket Number (Optional) **NOTICE OF APPEAL FROM THE EXAMINER TO** 63056.010601 THE BOARD OF PATENT APPEALS AND INTERFERENCES I hereby certify that this correspondence is being facsimile transmitted In re Application of to the USPTO or deposited with the United States Postal Service with Jean Pierre Giraud sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-Application Number Filed 1450" [37 CFR 1.8(a)] 10/634,156 August 4, 2003 October 27, 2005 APPLICATION AND ASSEMBLY SUITED FOR USE AS A DISPOSABLE LEAK PROOF CHILD DRINKING CUP Signature_ Art Unit Examiner Typed or printed 3727 James N. Smalley Randi Flamenbaum name Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner. 500 The fee for this Notice of Appeal is (37 CFR 41.20(b)(1)) Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced 250 by half, and the resulting fee is: 11/01/2005 BABRAHA1 00000054 501561 10634156 A check in the amount of the fee is enclosed. 01 FC:2401 250.00 DA Payment by credit card. Form PTO-2038 is attached. The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet. The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 501561 . I have enclosed a duplicate copy of this sheet. A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. I am the applicant/inventor. Signature assignee of record of the entire interest. Barry J. Schindler See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) Typed or printed name attorney or agent of record. 212-801-2244 32.938 Registration number Telephone number

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.

October 27, 2005

Date

PTO/SB/17 (12-04)

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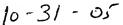
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| Dant to the Consolida | Annlication Num | ber 10 | 10/634,156 | | | | | | |
| FEE TR | Filing Date | Au | August 4, 2003 | | | | | | |
| For FY 2005 | | | First Named Inve | _{entor} Jea | Jean Pierre Giraud | | | | |
| Applicant claims small of | entity status | See 37 CED 1 27 | Examiner Name | Jai | mes N. Smalley | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | Art Unit | 37 | 3727 | | | | |
| TOTAL AMOUNT OF PAYN | MENT (\$) | 250 | Attorney Docket | No. 63 | 056.010601 | | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | | | |
| Check Credit Card Money Order None Other (please identify): | | | | | | | | | |
| Deposit Account Deposit Account Number: 501561 Deposit Account Name: Greenberg Traurig | | | | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | | | | | | |
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| under 37 CFR 1.16 and 1.17 | | | | | | | | | |
| WARNING: Information on this form may become public. Credit card information should not be included on this form, Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| FEE CALCULATION | | | | | | | | | |
| 1. BASIC FILING, SEAR | | | S | · | | | | | |
| | FILING | FEES SE/ Small Entity | ARCH FEES | EXAMIN | ATION FEES | | | | |
| Application Type | Fee (\$) | Fee (\$) Fee | Small Entity (\$) Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fees Paid (\$) | | | |
| Utility | 300 | 150 500 | 0 250 | 200 | 100 | | | | |
| Design | 200 | 100 100 | 0 50 | 130 | 65 | | | | |
| Plant | 200 | 100 300 | 0 150 | 160 | 80 | | | | |
| Reissue | 300 | 150 500 | 0 250 | 600 | 300 | | | | |
| Provisional | 200 | 100 | 0 0 | 0 | 0 | | | | |
| 2. EXCESS CLAIM FEES | S | | | | | Small Entity | | | |
| Fee Description Each claim over 20 or for | r Reissues | each claim over 20 a | and more than in th | e origina | Ingtent | Fee (\$) Fee (\$) 50 25 | | | |
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 | | | | | | | | | |
| Multiple dependent claims 360 180 | | | | | | | | | |
| Total Claims | | | | | | | | | |
| U 20 or HP = U x U = 0 Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 | | | | | | | | | |
| Indep. Claims | | | | | | | | | |
| HP = highest number of independent claims paid for, if greater than 3 | | | | | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | | | | |
| If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) | | | | | | | | | |
| for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | | |
| Total Sheets | Extra She | <u>Number of e</u> | each additional 50 o | r fraction 1 | thereof Fee (\$) | Fee Paid (\$) | | | |
| 100 = | | | | | | | | | |
| 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$) | | | | | | | | | |
| 14011-Pugusu ahecine | ativii, Di | TO ICE (110 SINAH ENU) | ty discount) | | | | | | |

| SUBMITTED BY | \cap | | <i>î</i> // | | |
|-----------------|--------------|--------|-------------|--|------------------------|
| Signature | Dary | Schind | leth | Registration No. 32,938 (Attorney/Agent) | Telephone 212-801-2244 |
| Name (Print/Typ | Barry J. Sch | indler | 7 0 | | Date 10/27/2005 |

Other: Fee for filing Notice of Appeal

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





AF / JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Jean Pierre Giraud

SERIAL NO.:

10/634,156

FILED:

August 4, 2003 63056.010601

ATTY. DOCKET: TITLE:

APPLICATION AND ASSEMBLY SUITED FOR USE AS A

DISPOSABLE LEAK PROOF CHILD DRINKING CUP

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EV 558530456 US

Date of Deposit:

October 27, 2005

I hereby certify that the following attached paper(s) and/or fee

(1) Notice of Appeal (1 pg);

- (2) Fee Transmittal Authorization to Deduct the amount of \$250.00 from the deposit account 501561;
- (3) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: October 27, 2005

Randi Flamenbaum

Legal Assistant

CORRESPONDENCE

Barry J. Schindler, Esq.

GREENBERG TRAURIG LLP

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